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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**INVENTOR APPLICATION OF:**

**CORMIER, et al.**

**FOR: Drug Delivery Device and Method  
Having Coated Microprojections  
Incorporating Vasoconstrictors**

**SERIAL NO: 10/674,626**

**FILED: September 29, 2003**

**ART UNIT NO: 1614**

**EXAMINER: To be assigned**

**DOCKET NO: ARC 3061 R1**

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
WITHIN THREE MONTHS OF FILING OR BEFORE MAILING  
OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b))**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §1.97 - 1.98 and in compliance with the duty of disclosure as defined in 37 C.F.R. §1.56, submitted herewith is information of which Applicants are aware and that Applicants believe may be material to the examination of this application.

The Information Disclosure Statement submitted herewith is being filed *within* three months of the filing date of this national application; or the date of entry of the national stage as set forth in § 1.491 in an international application; or before the mailing date of the first Office action on the merits, whichever event occurs last. Accordingly, no fee or certificate is believed to be required.

**List of Sections Forming Part of Information Disclosure Statement**

- ☒ Preliminary Statement under 37 C.F.R. § 1.97(h)
- ☐ Statement under 37 C.F.R. § 1.97(e)
- ☐ Concise Explanation of Non-English Language Listed Information Items
  - ☐ PCT International Search Report
- ☒ Identification of Person(s) Making This Information Disclosure Statement
- ☒ Form PTO/SB/08A (formerly Form PTO-1449)
- ☒ Copies of Listed Information Items Accompanying Statement

**Preliminary Statement under 37 C.F.R. § 1.97(h)**

The Information Disclosure Statement Under 37 C.F.R. §1.56 is not to be construed to be an admission that the information set forth in the statement is, or is considered to be, material to patentability as defined in §1.56(b). Additionally, this Information Disclosure Statement is not to be construed as a representation that a further search of the art has been made by Applicants, or that additional information relevant to the examination of this application does not exist unbeknownst to Applicants.

**Copies of Listed Information Items Accompanying Statement**

Legible copies of all items listed in Form PTO/SB/08A (formerly Form PTO-1449) accompany this Information Disclosure Statement.

**Identification of Person Making the Information Disclosure Statement**

The person making this statement is

(a) \_\_\_\_\_ the inventor(s) who signs below

[Name of Inventor]: \_\_\_\_\_

(b) \_\_\_\_\_ an individual associated with the filing and prosecution of this application (37 CFR 1.56(c))

[Name of Inventor]: \_\_\_\_\_

(c) XX the attorney who signs below on the basis of the information:

XX supplied by the inventor(s).

XX supplied by an individual associated with the filing and prosecution of this application. (37 CFR 1.56(c)).

XX in the attorney's file.

Respectfully submitted,

FRANCIS LAW GROUP

By \_\_\_\_\_

Ralph C. Francis  
Reg. No. 38,884

Dated: April 6, 2005  
1942 Embarcadero  
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I hereby certify that this document is being deposited with the United States Postal Service on this date 4-7-05 in an envelope as "First Class Mail" addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA. 22313-1450.

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**Complete if Known**

Application Number	10/674,626
Filing Date	September 29, 2003
First Named Inventor	Michel J.N. Cormier
Art Unit	1614
Examiner Name	To be assigned
Attorney Docket Number	ARC 3061 R1

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		WO 2002/05889 A1	01-24-2002	Becton		
		WO 2002/007813	01-31-2002	Dalton, et al.		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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